PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Please type a plus sign (+) inside this box -> [+] U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/932,240 **Application Number** TRANSMITTAL **Filing Date** August 17, 2001 MAR 0 5 2002 First Named Inventor Murotani, Akira correspondence after initial filing) (to be used for 🛍 Group Art Unit **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 16869S-032000US ENCLOSURES (check all that apply) After Allowance Communication to Group

Appeal Communication to Board PRIGNALLY FILED After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Fee Attached Drawing(s) Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Terminal Disclaimer 1. Declaration/Power of Attorney Express Abandonment Request Request for Refund 2. Supplemental ADS Information Disclosure Statement 3. Copy Notice CD, Number of CD(s) 4. Return Postcard The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) (JP 2001-110785) Response to Missing Parts/ Incomplete Application Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated September 18, 2001, the enclosures listed on this sheet are to be made of record in the Response to Missing above-identified case. Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm and Joe Liebeschuetz Reg. No. 37,505 Individual name Signature Date February 19, 2002 CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: February 19, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

February 19, 2002

Margaret K. Stephan

argant

PA 3202266 v1

Signature

Typed or printed name

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## United States Patent and Trademark Office



COMMISSIONER FOR UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

APPLICATION NUMBER 09/932,240

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

08/17/2001

Akira Murotani

16869S-032000US

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER

EIGHTH FLOOR

SAN FRANCISCO, CA 94111-3834

COPY OF PAPERS ORIGINALLY FILED

**CONFIRMATION NO. 4825 FORMALITIES LETTER** \*OC000000006568995\*

Date Mailed: 09/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter. • The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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for FY 2001			Applic	Application Number Filing Date			Complete if Known 09/932,240 Q		
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AR U 5 ZULZ Gratent fees are subject to annual revision.			Exam	iner Nam	ne				
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TOTAL AMOUNT OF PAYMENT (\$) 1090			Attorn	Attorney Docket No. 16869S-032000US			9S-032000US		
	METHOD OF PAY	MENT				FEE C	ALCULATION (continued)		
1. ⊠		ereby authorized to charge lit any over payments to:	3. ADI	DITIONAL Entity		Entity			
Deposit Account	20-1430		Fee Code	Fee (\$)	Fee Code	Fee	Fee Description	Fee Paid	
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Deposit Account	Townsend and Townsend and Crew LLP		139	130	139	130	Non-English specification		
Account			147	2,520	147	2,520	For filing a request for reexamination	n 📑	
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Name  Charge	Any Additional Fee Required 7 CFR 1.16 and 1.17		112	920*	112	920°	Requesting publication of SIR prior t Examiner action	to	

1. ⊠	The Commissioner is hereby authorized to charge		e 3. A	3. ADDITIONAL FEES					
. –	indicated fees	and credit any over payments to:	Larg Fee	je Entity Fee	Small Fee	Entity Fee	Fee Description	Fee	
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See 37 CFI		status.	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
2. 🗌 Payment	t Enclosed:		115	110	215	55	Extension for reply within first month	L	
☐ Check	☐ Credit card	☐ Money ☐ Other Order	116	400	216	200	Extension for reply within second month		
			117	920	217	460	Extension for reply within third month	920	
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ee Fee Fe	ee Fee I	Fee Description	119	320	219	160	Notice of Appeal		
Code (\$) Co	ode (\$)	Fee P	aid 120	320	220	160	Filing a brief in support of an appeal		
01 740 20	01 370 U	Utility filing fee	121	280	221	140	Request for oral hearing		
06 330 20 07 510 20		Design filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding		
108 740 20	08 370 F	Reissue filing fee	140	110	240	55	Petition to revive - unavoidable		
14 160 21	14 80 F	Provisional filing fee	141	1,280	241	640	Petition to revive - unintentional		
			142	1,280	242	640	Utility issue fee (or reissue)		
	SUBTOTAL	. (1)	143	460	243	230	Design issue fee		
. EXTRA CLAIM	EEEG		144	620	244	-310	Plant issue fee		
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dependent laims	-3** =	x =	126	180	126	180	Submission of Information Disclosure Stmt		
lultiple ependent		× =	581	40	581	40	Recording each patent assignment per property (times number of properties)	40	
•	Small Entity Fee Fee	Fee Description	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
(*,	Code (\$) 203 9	Claims in excess of 20	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
	202 42	Independent claims in excess of	1/9	740	279	370	Request for Continued Examination (RCE)		
104 280	204 140	Multiple dependent claim, if not	paid						
109 84 :	209 42	** Reissue independent claims original patent	900	169	900	Request for expedited examination of a design application			
110 18	210 9	** Reissue claims in excess of 2 over original patent		Other fee (specify)					
	su	BTOTAL (2) (\$)		The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					
	h : d	For Reissues, see above	*Red	duced by Ba	ısic Filinç	Fee Pa	aid SUBTOTAL (3) (\$)1090	)	

SUBMITTED BY				Con	nplete (if applicable)
Name (Print/Type)	Joe Liebeschuetz	Registration No. (Attorney/Agent)	37,505	Telephone	650-326-2400
Signature	Leweler	w)		Date	February 19, 2002

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